

Workshop on Observational Techniques

Basic introduction: spectrographs

Matti Dorsch¹

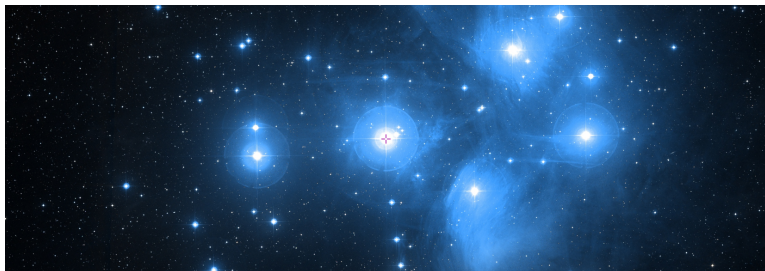
¹University of Potsdam

29. August 2022

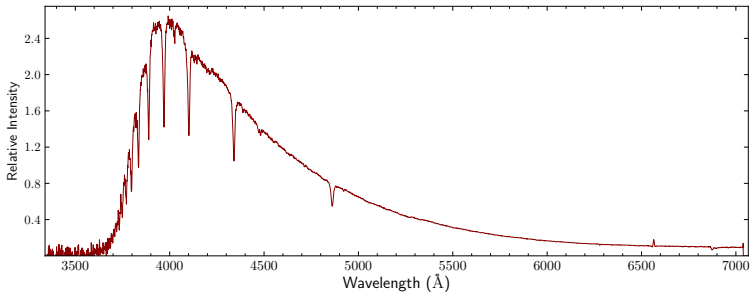
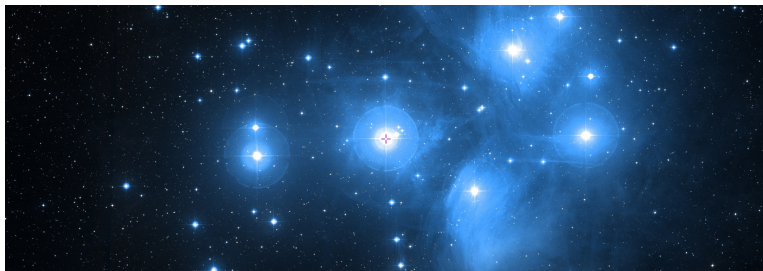
at Ondřejov observatory



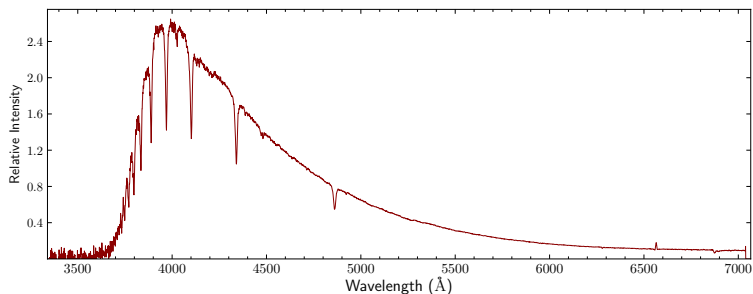
What do we want to achieve?



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Compromise between

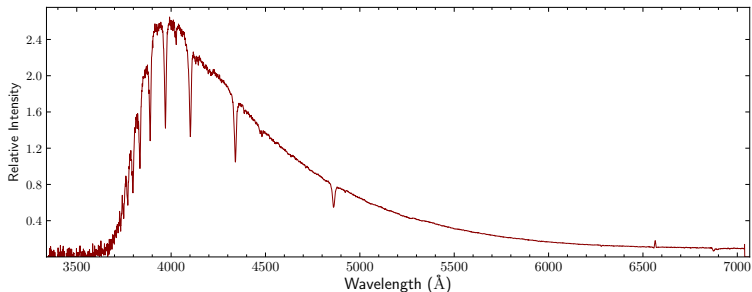
Large wavelength range

High efficiency

High spectral resolving power $R = \lambda/\Delta\lambda$

Accurate wavelength calibration

What do we want to achieve?



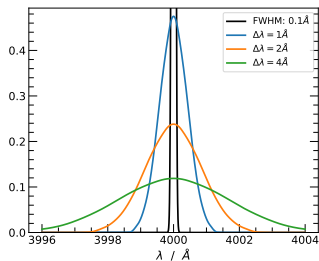
Compromise between

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First-order spectroscopy

Long slit spectrograph

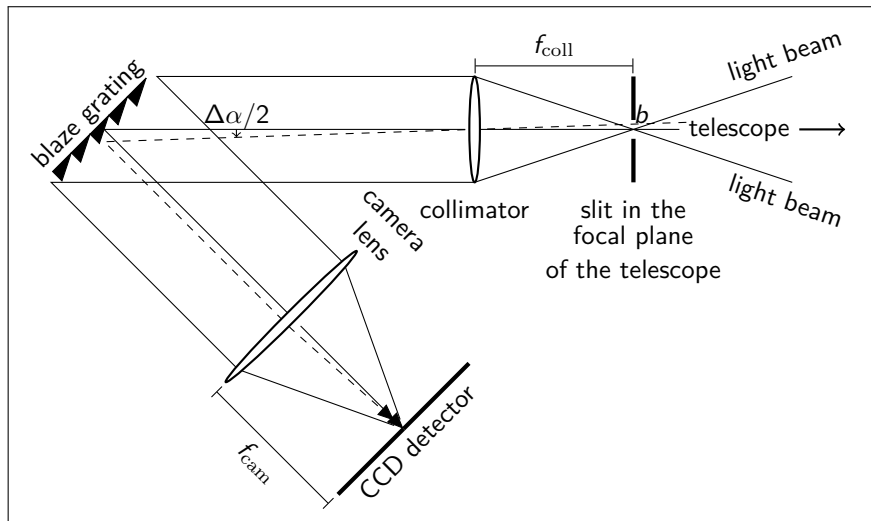
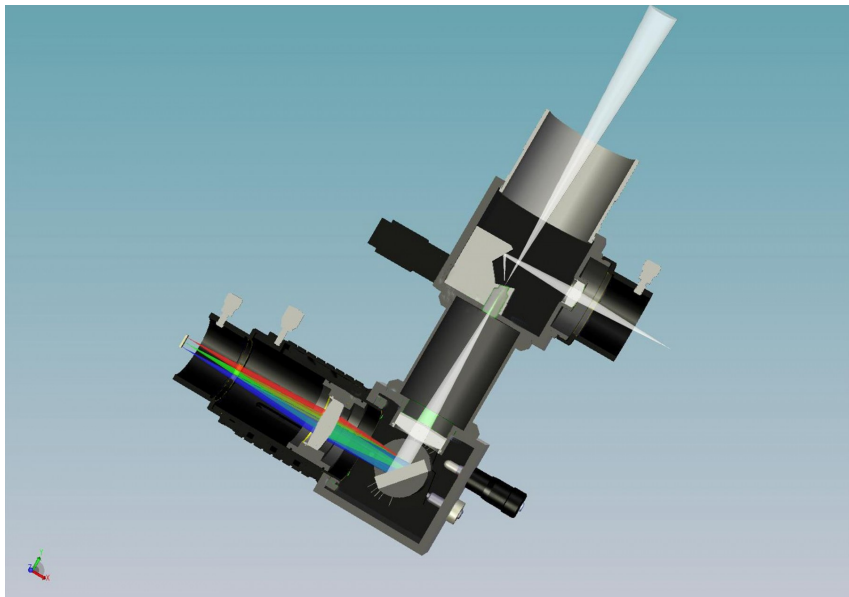
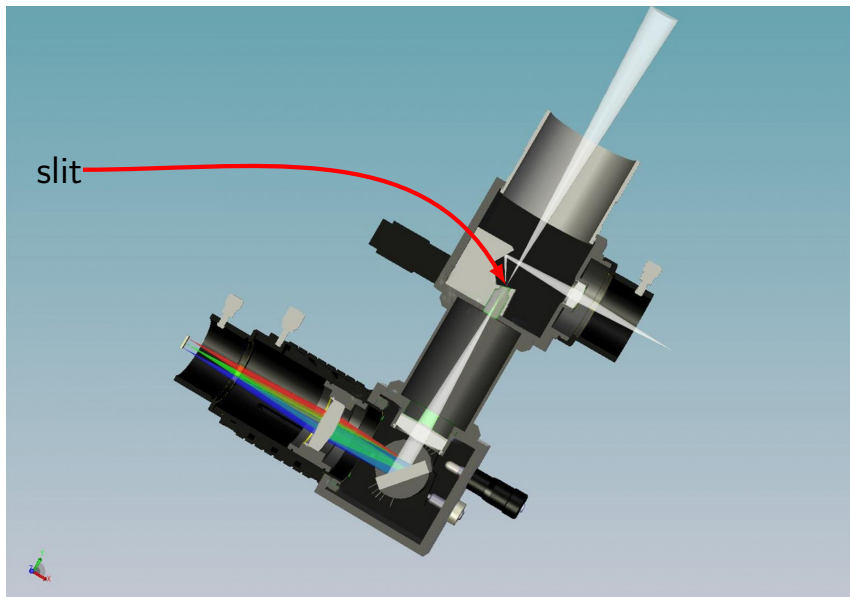


Figure: Schematic beam path in a long slit spectrograph.

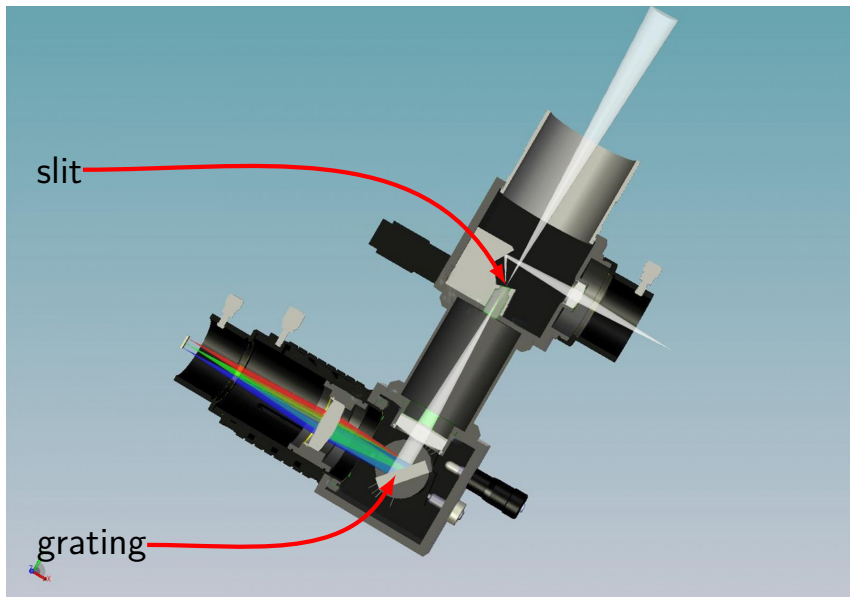
A simple spectrograph



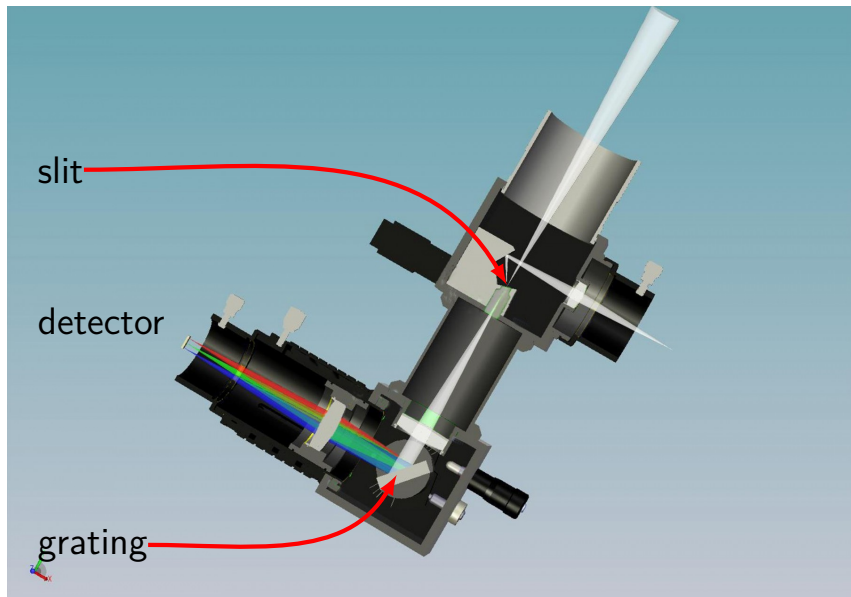
A simple spectrograph



A simple spectrograph



A simple spectrograph

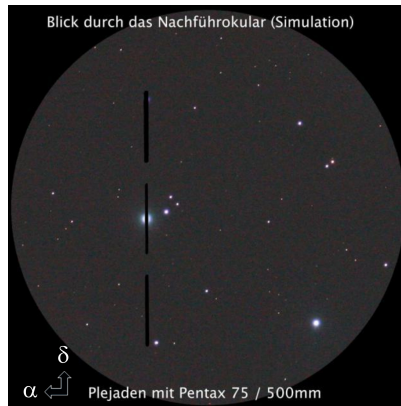


Slits

Why do we need a slit?

- take spectrum of *one* object
- slit width $>$ PSF:
seeing-limited resolution
- slit width $<$ PSF:
slit-limited resolution
(also extended objects)
- Typically: 10 to 1000 μm

Which slit width do we choose?



Slits - light loss

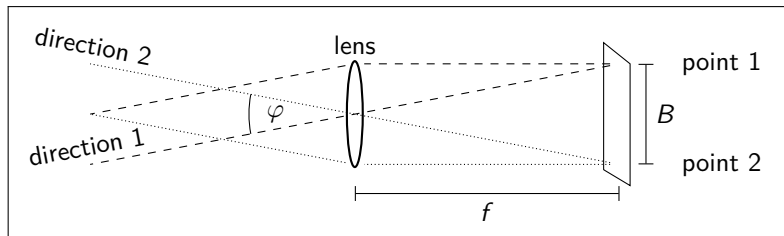


Figure: Image scale for a lens with focal length f and infinite object distance.

$$\frac{\varphi}{2} \approx \tan \frac{\varphi}{2} = \frac{B}{2f} \quad \rightarrow \quad B = f \times \varphi$$

Typical seeing $\rightarrow \varphi \approx 2.5''$
 $f_{\text{Perek}} = 63.5 \text{ m}$ } Projected size on slit $B \approx 770 \mu\text{m}$

Slits - resolution

The slit used for OES is $b = 600 \mu\text{m} < 770 \mu\text{m}$! Why?

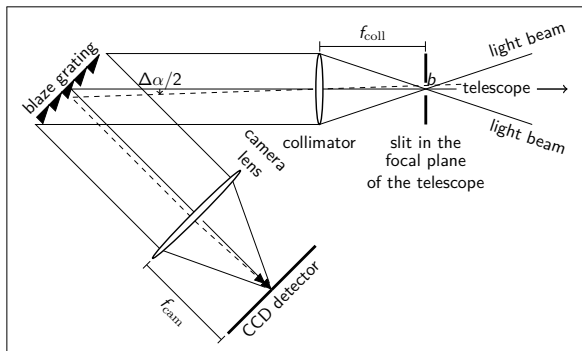
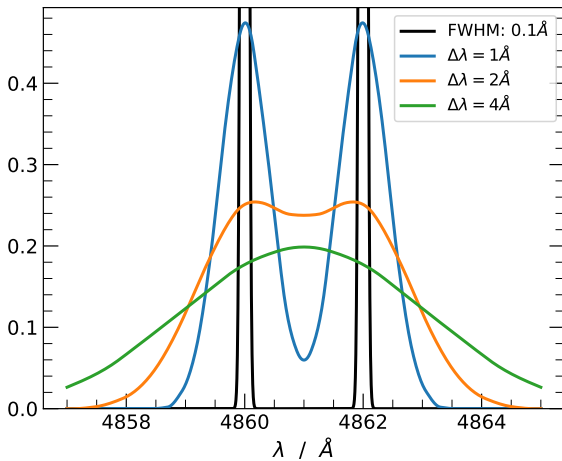


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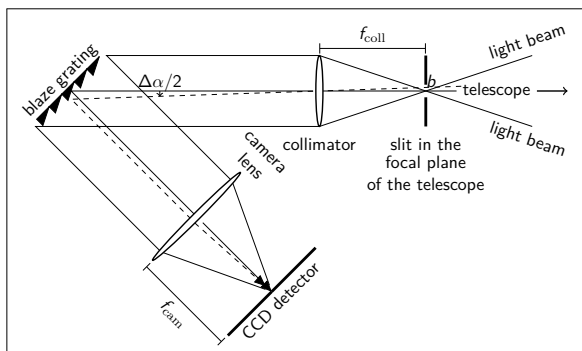


Figure: Schematic beam path in a long slit spectrograph.

Reminder: $\Delta\alpha \stackrel{b \ll f_{\text{coll}}}{=} \frac{b}{f_{\text{coll}}}$, $n\lambda \stackrel{\text{interference}}{=} d \cdot (\sin \alpha + \sin \beta)$

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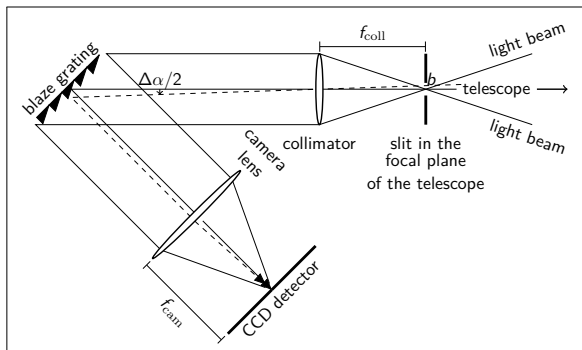


Figure: Schematic beam path in a long slit spectrograph.

$$\text{Reminder: } \Delta\alpha \stackrel{b \ll f_{\text{coll}}}{\approx} \frac{b}{f_{\text{coll}}} \rightarrow \Delta\lambda \stackrel{\text{lin.}}{\approx} \frac{\partial\lambda}{\partial\alpha} \Delta\alpha = \frac{d}{n} \cos\alpha \Delta\alpha \stackrel{n=1}{\approx} \text{const.}$$

Slits - resolution

The slit used for OES is $b = 600 \mu\text{m} < 770 \mu\text{m}$! Why?

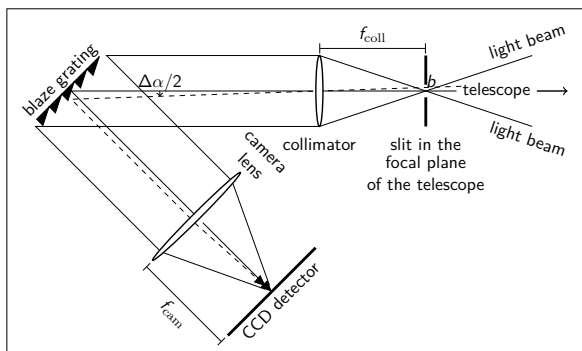
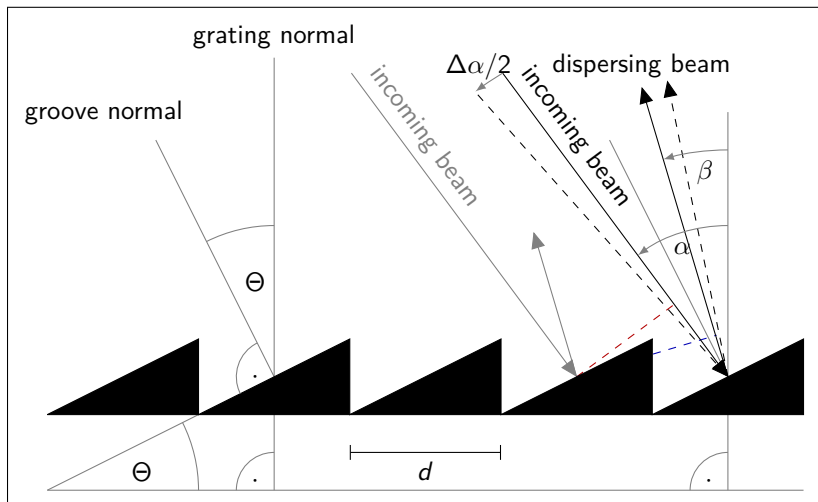


Figure: Schematic beam path in a long slit spectrograph.

$$\text{Reminder: } \Delta\alpha \stackrel{b \ll f_{\text{coll}}}{=} \frac{b}{f_{\text{coll}}} \rightarrow R_{\text{slit}} = \frac{\lambda}{\Delta\lambda_{\text{slit}}} = \frac{nf_{\text{coll}}}{db \cos \alpha} \lambda$$

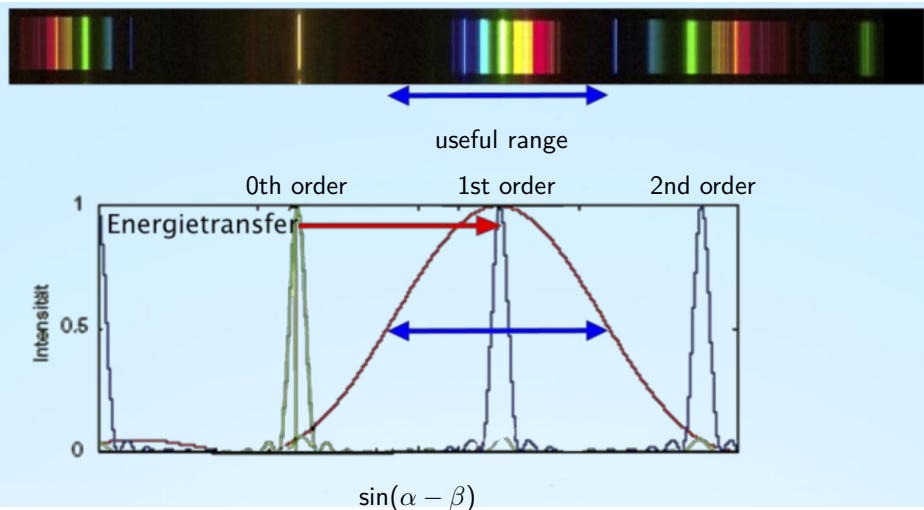
Blaze grating - interference condition



$$n\lambda \stackrel{\text{interference}}{=} \Delta s = d \cdot (\sin \alpha + \sin \beta), \text{ order } n \in \mathbb{N}; \alpha + \beta \stackrel{\text{class.}}{=} 2\Theta_B$$

Δs : path difference between each groove

Blaze grating - diffraction orders



$$n\lambda_n^0 = d \cdot (\sin \alpha + \sin(2\Theta_B - \alpha)), \lambda_n^0 = \text{blaze wavelength (max. intensity)}$$

Blaze grating - blaze function

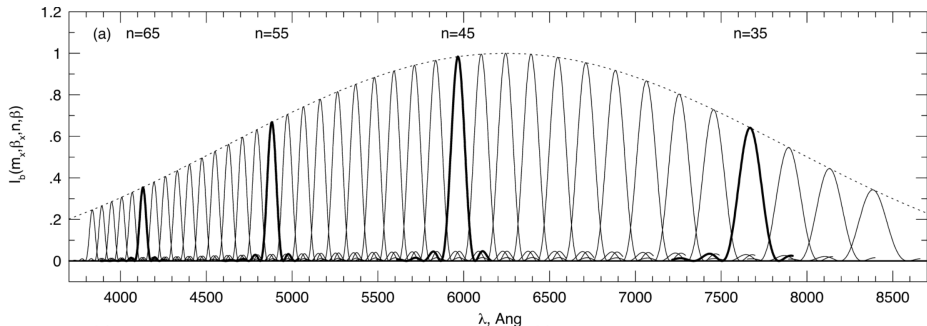
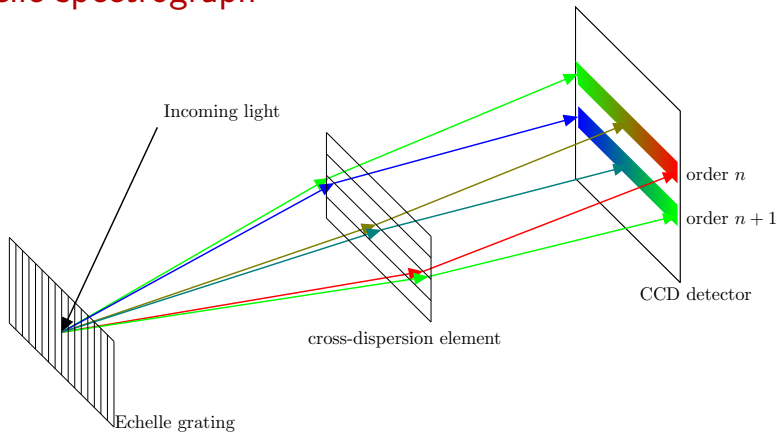


Figure: Dispersion and Blaze function $(\sin(x)/x)^2$ for a cross-dispersed échelle spectrograph.

Échelle spectrograph



- separate overlapping orders by cross-dispersion element
- optimized for high incidence angles and high orders:
 $\Theta_B = 69^\circ$ for OES

$$R_{\text{Échelle}} \approx \frac{f_{\text{coll}}}{b \cos \alpha} [\sin \alpha + \sin(2\Theta_B - \alpha)] \approx \text{constant} \approx 50000$$

Blaze grating - efficiency

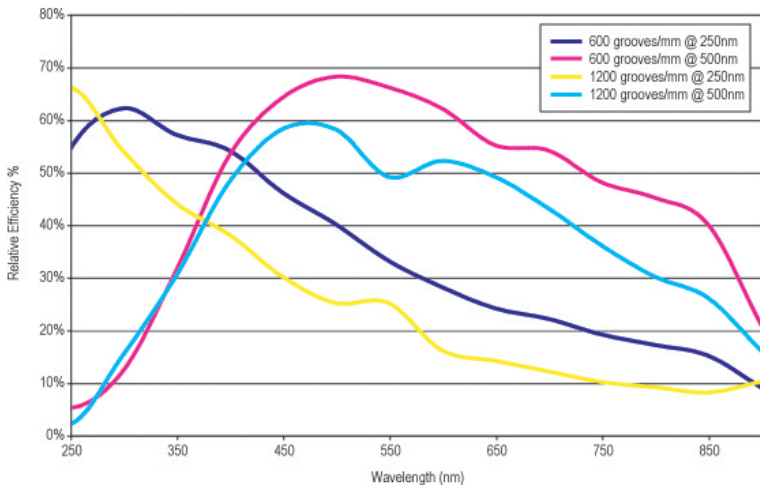


Figure: Typical efficiency curves for blazed holographic gratings (edmundoptics).

CCD detector - efficiency

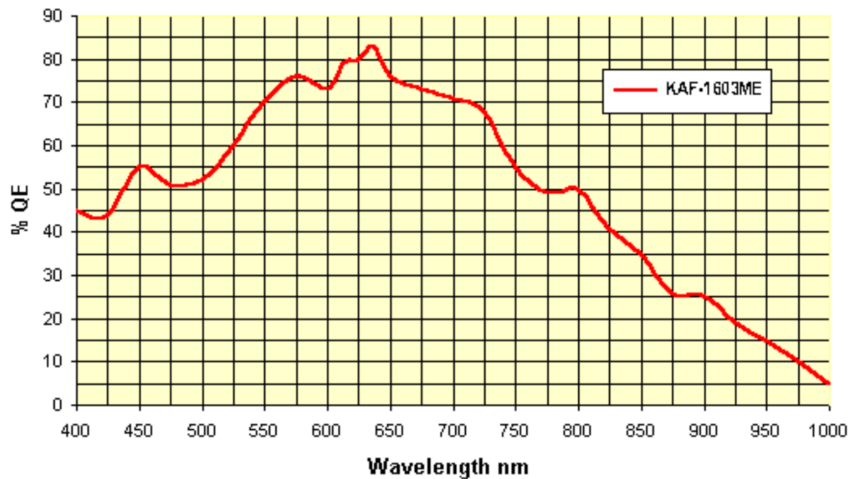


Figure: Quantum efficiency = % incident photons detected (SBIG ST-8XME).

Observation

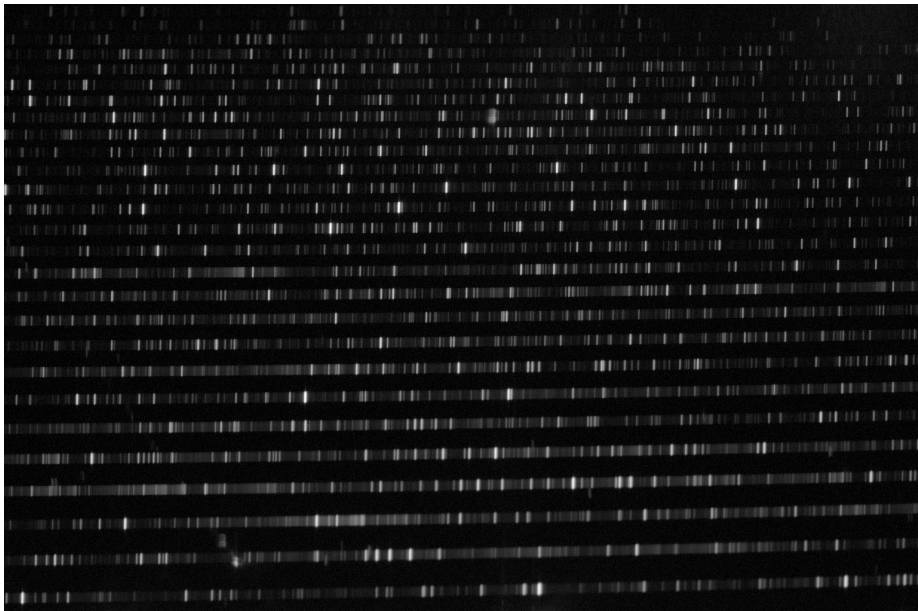
Raw images



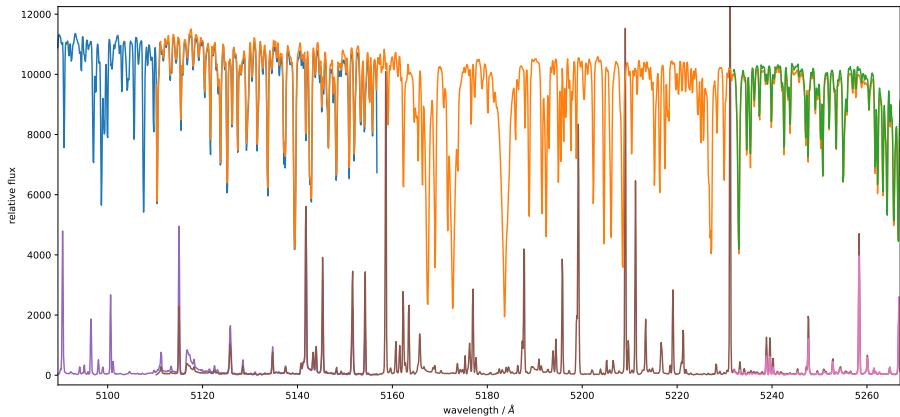
Raw images



Raw images



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Raw data summary

To produce a calibrated, 1-d spectrum, we need:

- *Science* frame

For each of these:

Raw data summary

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- *Flat-field* frame

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To produce a calibrated, 1-d spectrum, we need:

- *Science* frame
- *Flat-field* frame
- *Calibration (arc)* frame

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Raw data summary

To produce a calibrated, 1-d spectrum, we need:

- *Science* frame
- *Flat-field* frame
- *Calibration (arc)* frame

For each of these:

- *Bias* frame:
 - used to remove the CDD *readout signals*, including constant offset
 - taken with shortest exposure time and closed shutter
 - included in dark frame, required if $t_{\text{exp,dark}} \neq t_{\text{exp,science}}$

Raw data summary

To produce a calibrated, 1-d spectrum, we need:

- *Science* frame
- *Flat-field* frame
- *Calibration (arc)* frame

For each of these:

- *Bias* frame:
 - used to remove the CDD *readout signals*, including constant offset
 - taken with shortest exposure time and closed shutter
 - included in dark frame, required if $t_{\text{exp,dark}} \neq t_{\text{exp,science}}$
- *Dark* frame:
 - thermal excitation of electrons in the CCD leads to a constant background noise
 - also: hot/cold pixels/columns
 - taken with the same exposure time and temperature as science frame
 - has to be subtracted from science frame

Reduction steps

Bias frame

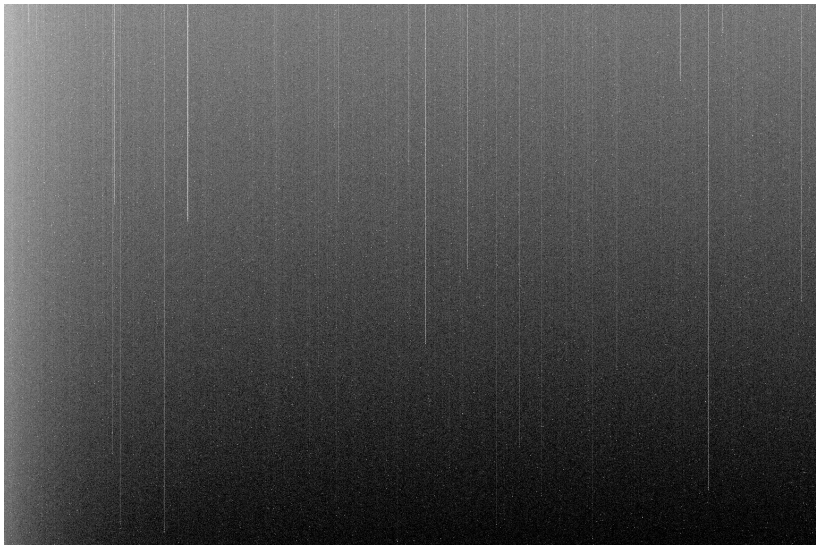


Figure: Median of 10 bias frames (closed shutter, shortest t_{exp} , log scale).

Bias frame

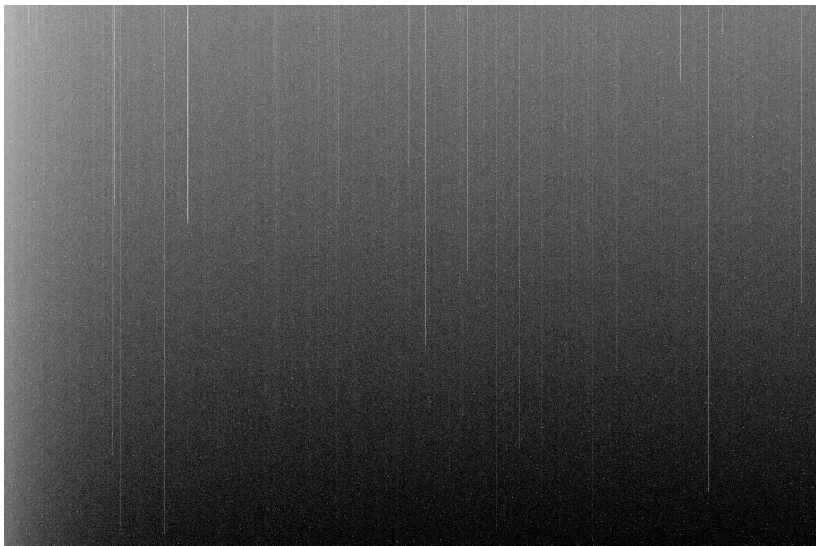


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Dark frame / Cosmics

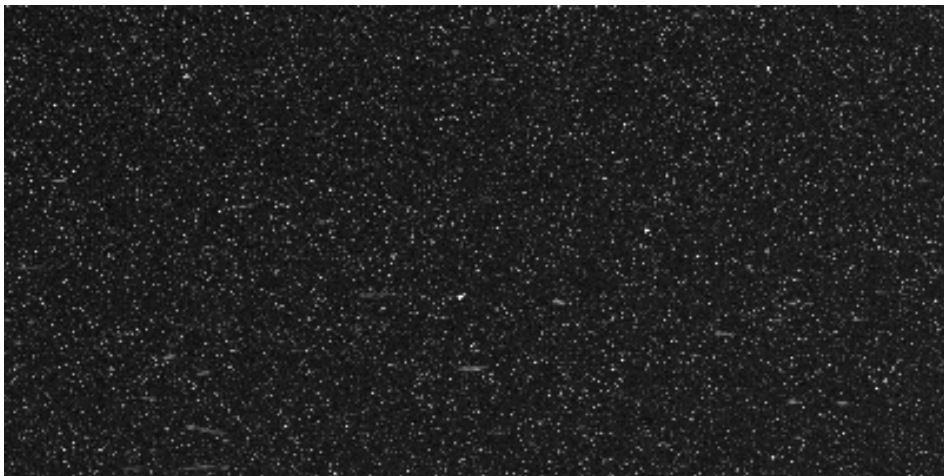


Figure: Dark frame detail, 3600s exposure (log scale).

Dark frame / Cosmics

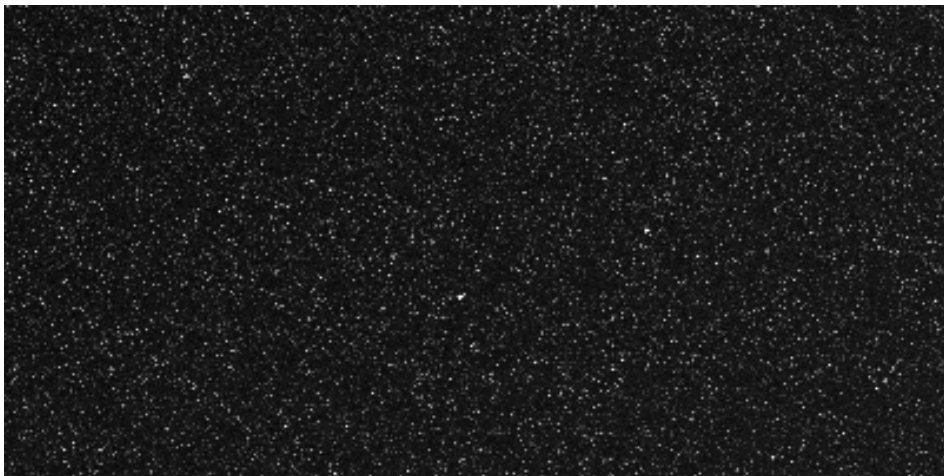


Figure: Dark frame detail: median of five 3600s exposures (log scale).

Average frames

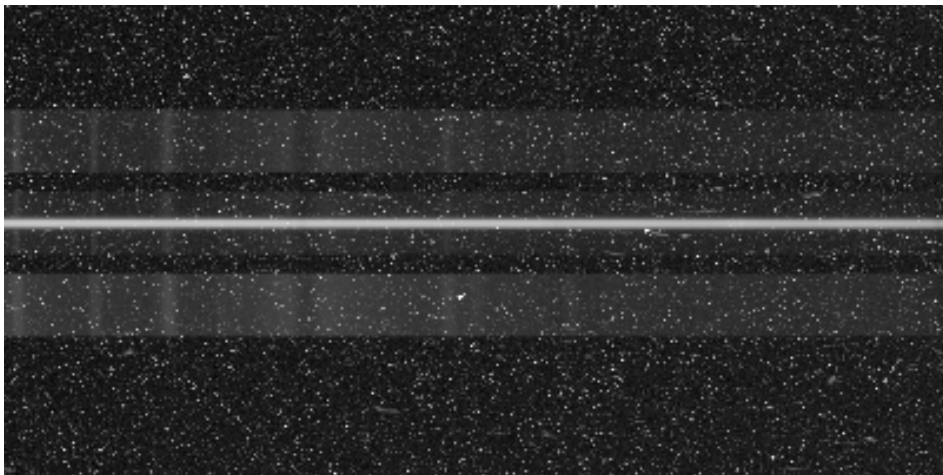


Figure: Science frame detail: 3600s exposure of BD+53 2790 (log scale).

Average frames

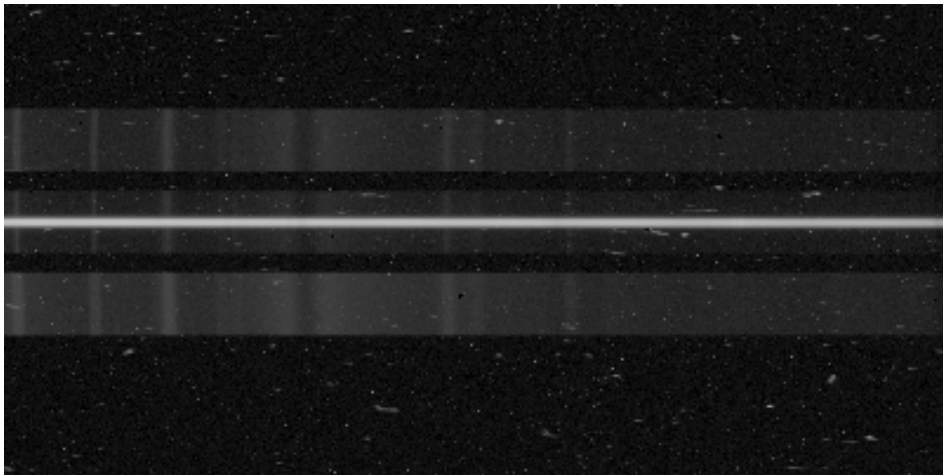


Figure: Science frame detail: dark frame subtracted.

Average frames

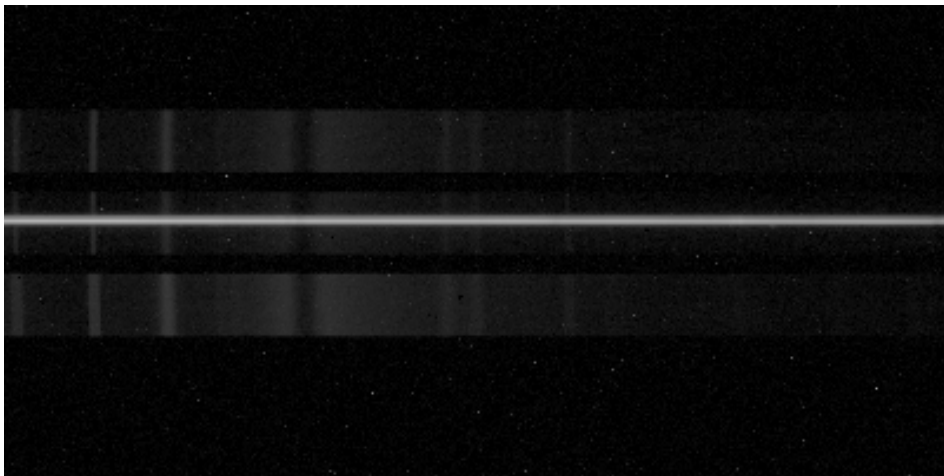


Figure: Science frame detail: dark frame subtracted, median of six exposures.

Sky background



Even the night sky is not completely black! Relevant for dark targets:

- air glow (emission lines due to chemical reactions in Earth's atmosphere, mainly at low altitudes $< 10^\circ$)
- scattered sunlight (astronomical twilight if Sun $< 18^\circ$ below horizon)
- moonlight
- *light pollution* (Potsdam, Berlin)
- in case of bad luck: planes (Tegel, Schönefeld)

Sky background

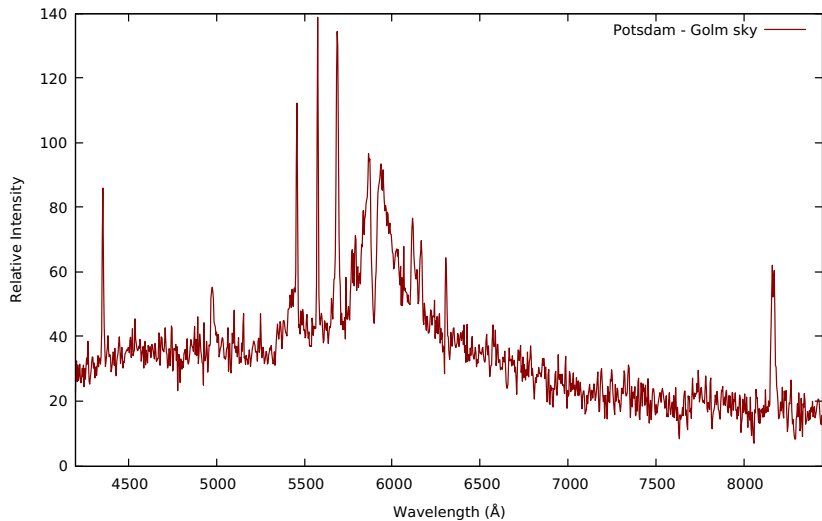


Figure: Potsdam sky background seen by DADOS (3h exposure average).

Sky background

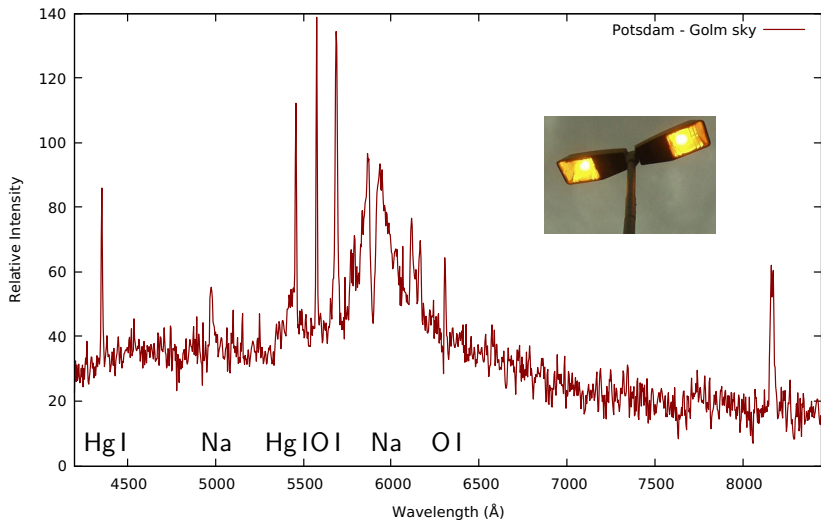


Figure: Potsdam sky background seen by DADOS (3h exposure average).

Dispersion relation

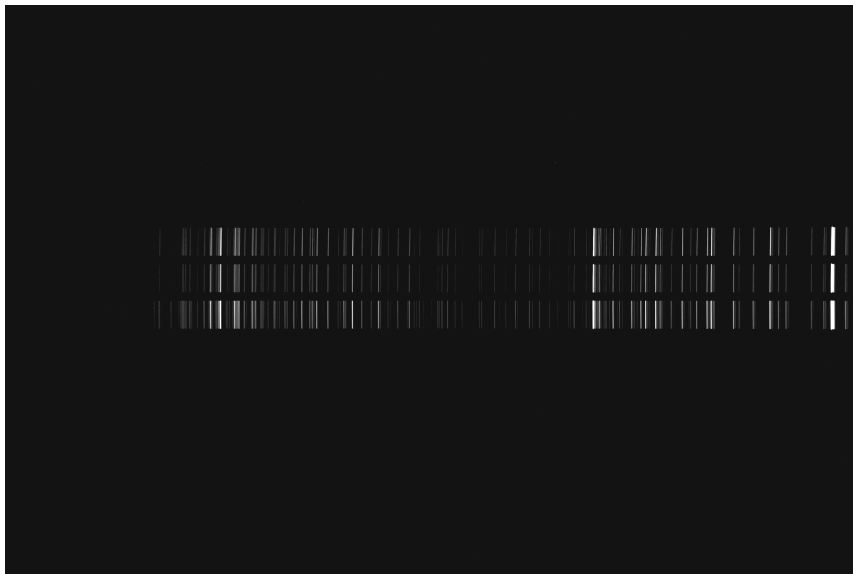


Figure: NeAr calibration frame.

Find dispersion relation

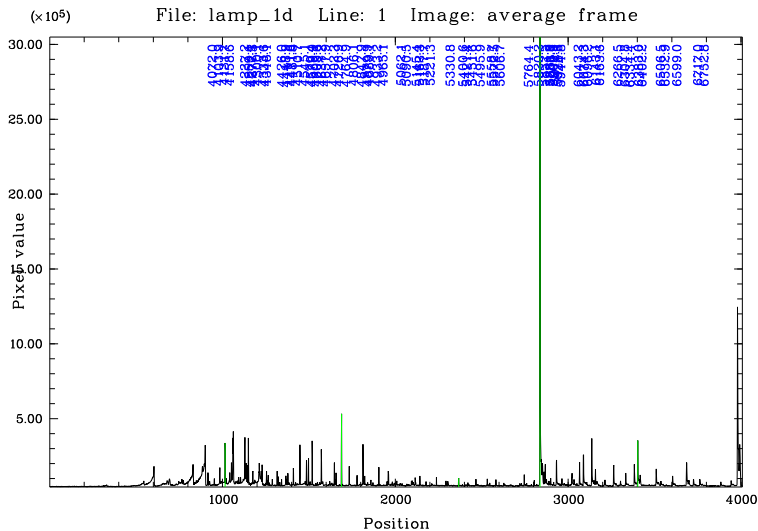


Figure: Semi-automatic emission line identification (NeXe lamp).

Find dispersion relation

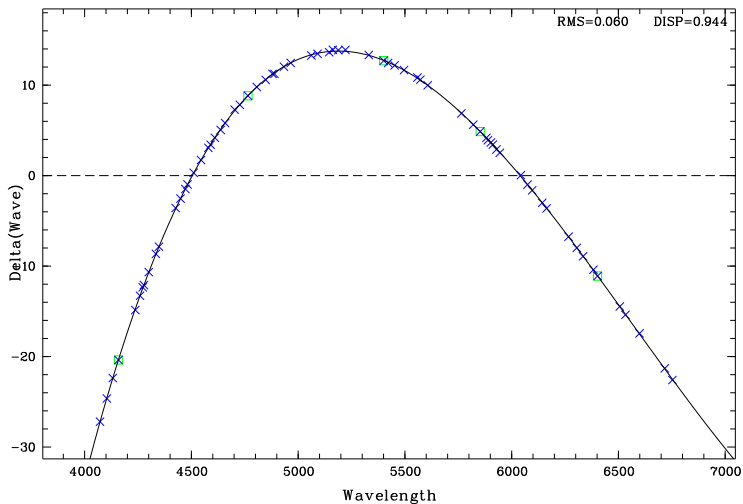


Figure: Dispersion relation as deviation from a linear relation between pixel and wavelength (NeXe lamp).

Find dispersion relation

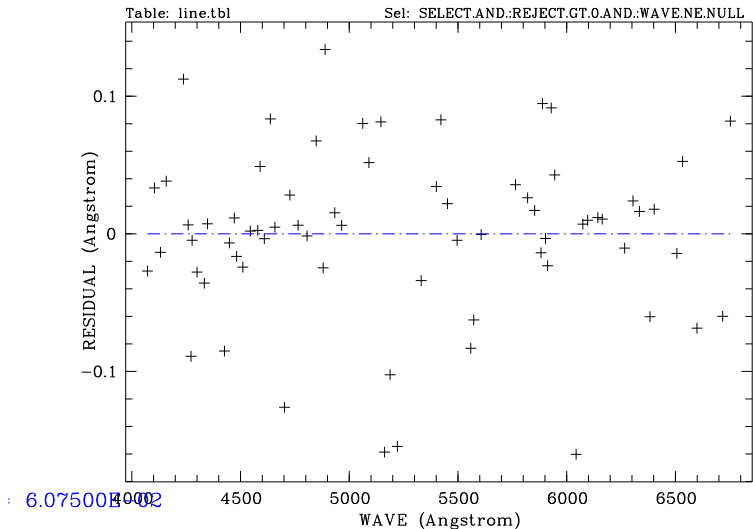


Figure: Deviations from the fitted dispersion relation (NeXe lamp).

Step-by-step summary

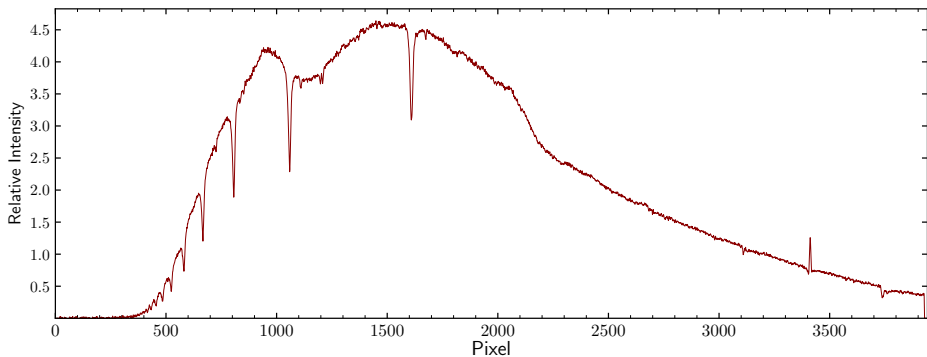


Figure: DADOS spectrum of Alcyone: dark, averaged.

Step-by-step summary

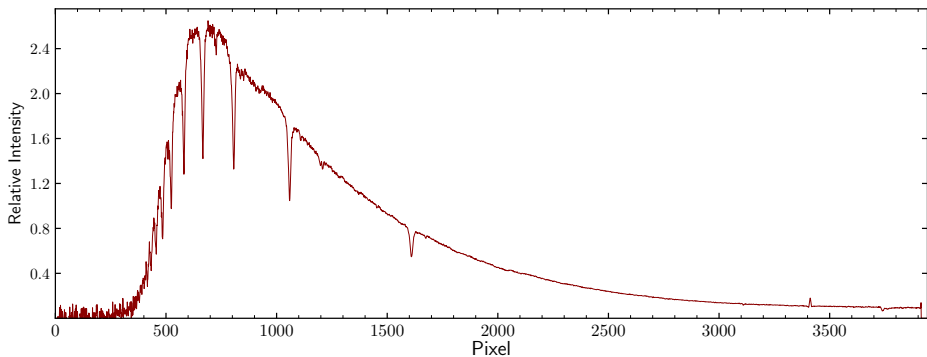


Figure: DADOS spectrum of Alcyone: dark, averaged, flat.

Step-by-step summary

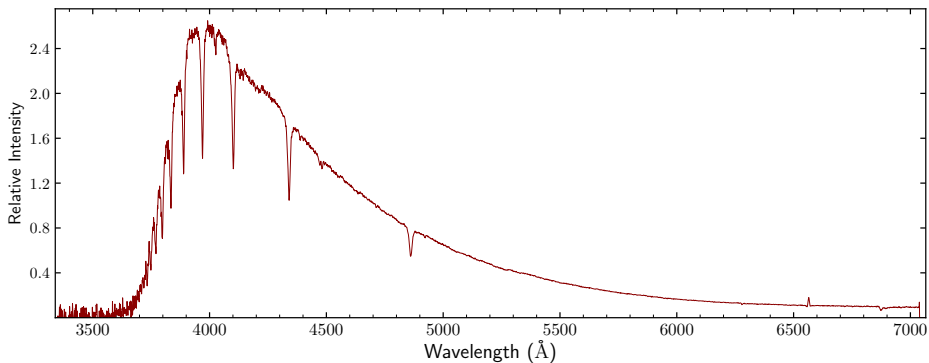


Figure: DADOS spectrum of Alcyone: dark, averaged, flat, calibrated.

Optional: (relative) flux calibration

Spectral shape is still affected by the flatfield shape, etc ...

+

We still don't have physical units

Optional: (relative) flux calibration

To fix this, create a standard star:

- take spectrum of a laboratory source with known flux distribution $L(\lambda)$:

$$R_{\text{lab}}(\lambda)$$

- then, the calibration factor is:

$$C(\lambda) = L(\lambda)/R_{\text{lab}}(\lambda)$$

- take spectrum of a standard star (correct for atm. extinction!):

$$R_{\text{std}}(\lambda)$$

- then, the flux of the std. star in physical units ($\text{erg cm}^{-2} \text{s}^{-1} \text{\AA}^{-1}$) is:

$$f_{\text{std}}(\lambda) = C(\lambda)R_{\text{std}}(\lambda) = \frac{R_{\text{std}}(\lambda)}{R_{\text{lab}}(\lambda)}L(\lambda)$$

Optional: (relative) flux calibration

If flux distribution of a standard (comparison) star $f_{\text{std}}(\lambda)$ is known:

- take high S/N spectrum of standard star:

$$R_{\text{std}}(\lambda)$$

- then, the "calibration" factor is:

$$c(\lambda) = f_{\text{std}}(\lambda)/R_{\text{std}}(\lambda)$$

- take spectrum of target star $R_*(\lambda)$, then:

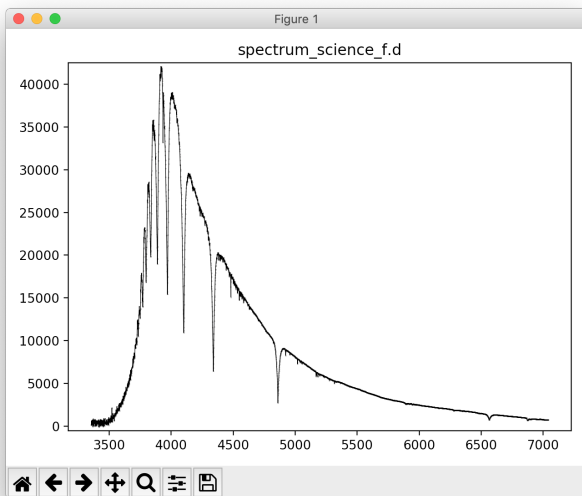
$$f_*(\lambda) = c(\lambda)R_*(\lambda) = \frac{R_*(\lambda)}{R_{\text{std}}(\lambda)}f_{\text{std}}(\lambda)$$

- the best calibrated star is Vega (no atm. extinction):

$$f_{\text{Vega}}(5556 \text{ \AA}) = 3.44 \pm 0.05 \text{ erg cm}^{-2} \text{ s}^{-1} \text{ \AA}^{-1}$$

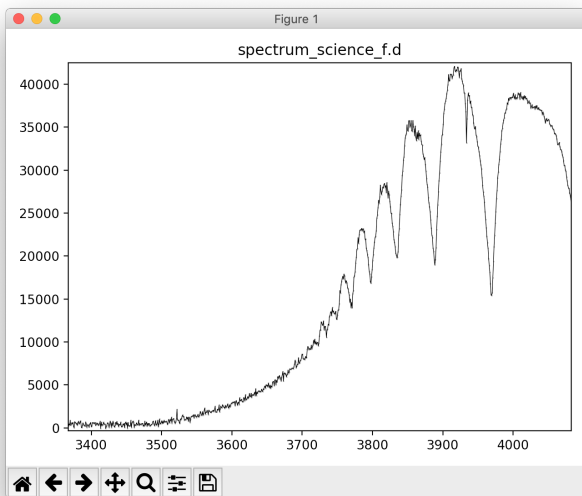
Optional: (relative) flux calibration

Here, relative flux calibration using excellent synthetic spectra:



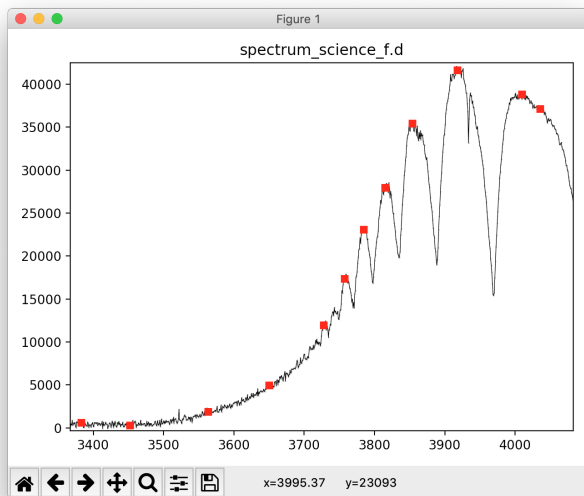
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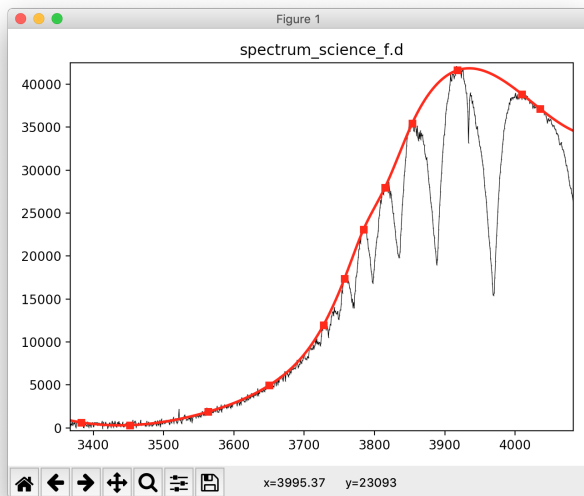
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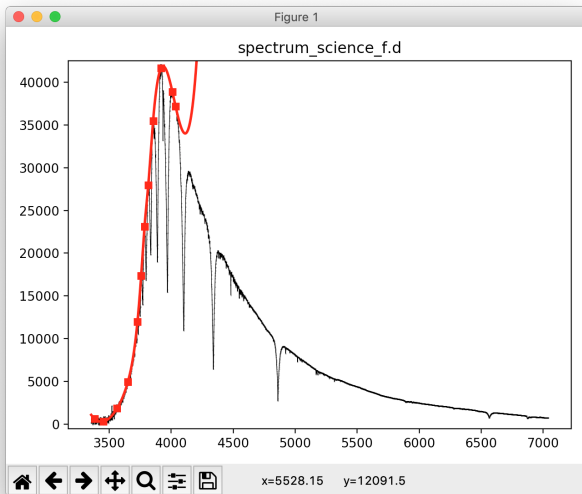
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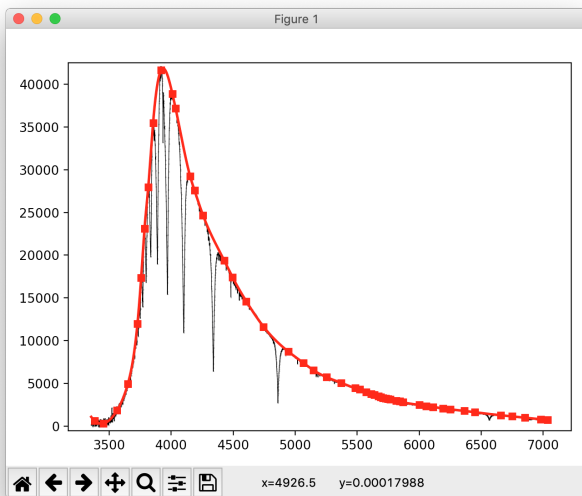
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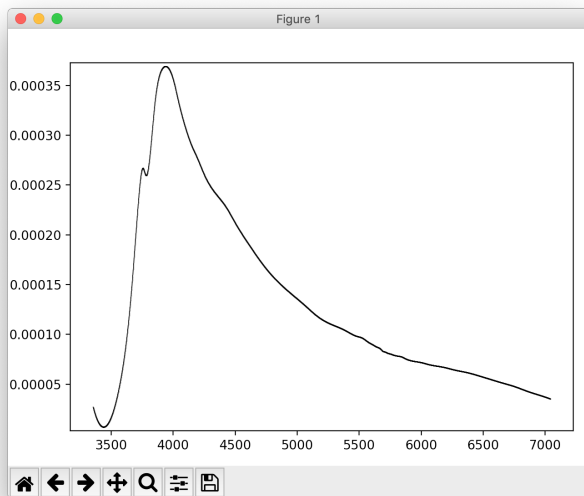
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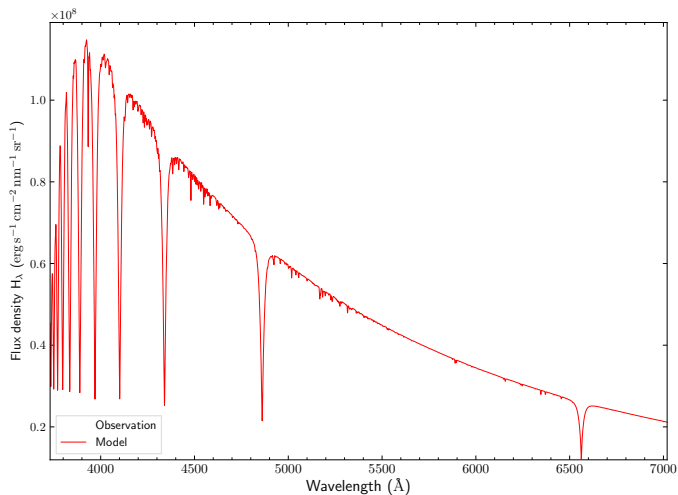
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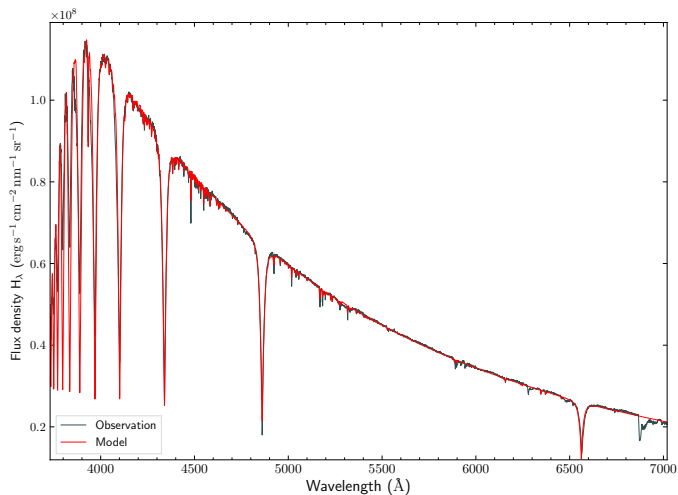
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Why not absolute flux calibration?

Absolute flux calibration would be even better!
Fit synthetic spectra to get:

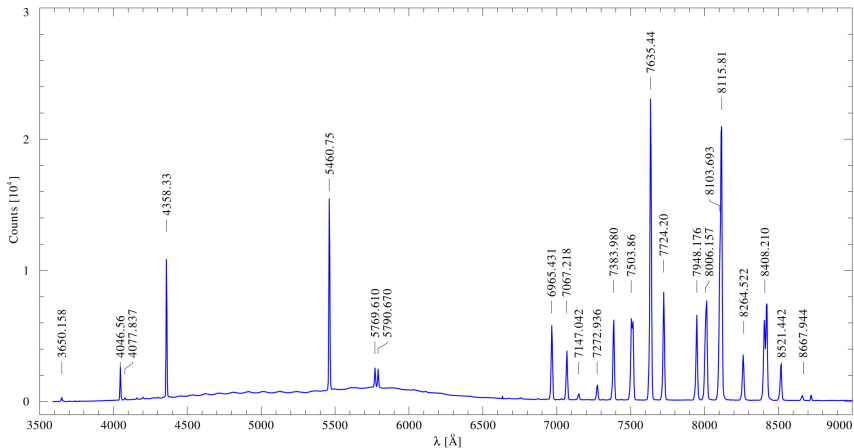
- angular diameter (solid angle) $\Theta = 2R/D$
- temperature T_{eff}
- color excess (interstellar reddening) E_{B-V}
- using parallax $\varpi \rightarrow$ stellar radius $R = \Theta/(2\varpi)$

Problems:

- airmass changes with distance to horizon
→ wavelength dependent extinction
- star may leave the slit during the observation
- clouds

Optional: determine resolution with calibration lamp

We need to know the spectral resolution to fit spectra!



Arc lines are intrinsically sharp \rightarrow fit Gaussian: $R = \lambda_{\text{line}}/\text{FWHM}_{\text{line}}$.

Example reduction using python scripts

In three steps (adjust file names, run scripts with -h for help):

```
# stack hgar images and flat images for star
~/scripts/evolved/0_average_images.py  hgar/ -o
    star_hgar_stacked.fit
```

```
# identify calibration lines
~/scripts/evolved/1_findcaliblines.py  -arc
    star_hgar_stacked.fit -rsc 500 570
```

```
# apply calibration and extract spectra
~/scripts/evolved/2_extractspectrum.py -sc
    star_1200s_stacked.fit -df ~/data/20190903/dark
    /1200s/ -ff flats/ -fd ~/data/20190903/dark/1s/
    -rsc 500 570
```

Questions?